TEMPLATE 2- GAP ANALYSIS - OVERVIEW

Case number: 2019PL460368

Name Organisation under review: WSB University

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GAP ANALYSIS

The Charter and Code provides the basis for the Gap analysis. In order to aid cohesion, the 40 articles have been renumbered under the following headings. Please provide the outcome of your organisation's GAP analysis below. If your organisation currently does not fully meet the criteria, please list whether national or organisational legislation may be limiting the Charter's implementation, initiatives that have already been taken to improve the situation or new proposals that could remedy the current situation. In order to help the organisation's recruitment strategy, a specific self-assessment checklist is provided for Open, Transparent and Merit-Based Recruitment.

European Charter for Re	esearchers and Code of Cond	uct for the Recruitment of Researchers : GAP analy	ysis overview
Status: to what extent does this organisation meet the following principles?	-	In case of, -/+, or +/-, please indicate the actual "gap" between the principle and the current practice in your organisation. If relevant, list any national/regional legislation or organisational regulation currently impeding implementation.	
Ethical and Professional	l Aspects		
1. Research freedom	++ fully implemented	pillars underpinning WSB University's operations — it is a major element of its development strategy and other documents, which are considered strategic. The existence of a positive trend is confirmed by the results of survey carried out among employees and doctoral students (Question 5). When asked about ethical and	https://wsb.edu.pl/en/research/hr-excellence-in-research/justification https://wsb.edu.pl/nauka-i-badania/logo-hr-excellence-in-research https://wsb.edu.pl/en/university/strategy-of-development

2. Ethical principles	++ fully implemented	(freedom of research), issues of adherence to fundamental ethical practices, principles and standards as set out in national, sectoral or institutional codes of ethics were also rated particularly highly by the researchers participating in the survey, with 84% of the respondents replying "I strongly agree" and 15%	Since the beginning of the WSB University efforts to implement the HRS4R policy, a particular emphasis has been placed on the already implemented Anti-Bribery and Ethical Policies within the WSB University. In 2019, a review of procedures and further information activities on the existing standards were carried out, including the familiarisation of employees with the most important documents (including the Anti-Corruption and Ethics Policies, the Ethics Committee, the WSB University Code of Ethics): hhttps://www.e-bip.org.pl/upload/00530/21978/0281754-51031698.pdf https://www.e-bip.org.pl/upload/00530/21978/0231636-83797606.pdf https://www.e-bip.org.pl/upload/00530/21978/0181662-25957324.pdf
3.Professional responsibility	++ fully implemented	respect for intellectual property rights and shared ownership of data/results in research with a	.
4. Professional attitude	+/- almost but not fully		publications) between various departments.

5.Contractual and legal obligations	++ fully implemented	broken down into specific questions in the survey. The affirmative responses received in the survey indicate that the principles are known and	The implementation of the guidelines in Area 5 is confirmed by the opinions of the respondents, however, due to their crucial importance, activities (both organisational and informational) are planned for the systemic consolidation of good practices in the WSB University.
6. Accountability	++ fully implemented	As above.	The guidelines from Area 6 are fully implemented according to the respondents, however, due to their key importance, systemic organisational activities are planned for the systemic consolidation of good practices in the WSB University.
7. Good practice in research	+/- almost but not fully implemented	like in the case of health, safety and hygiene regulations, as well as the regulations concerning the protection of personal data and	Despite the praise concerning the state of implementation of activities in this area, there are plans to consolidate and promote good practices by providing scientists with access to safe online solutions (tools) and information activities (training courses, guides).

8.Dissemination, exploitation of results	++ fully implemented	develop cooperation with institutions and expert circles. There are guidelines for publishing research results not only in journals but also in	https://wsb.edu.pl/en/research/news https://wsb.edu.pl/en/student/international- office/exchange-programs/prom https://wsb.edu.pl/nauka-i-badania/aktualnosci https://wsb.edu.pl/nauka-i-
9. Public engagement	++ fully implemented	activities aimed at developing cooperation with the society and public engagement, including promoting science, disseminating research results, as well as carrying out social projects and initiatives — these include the Science Festival, Open Skills Academy, University of the Third Age, and Children's University. The survey results showed that the obligation to disseminate the results to the general public is widely accepted by the research community, and that belief is further strengthened by institutional support. Overall, 89% of respondents indicated that they felt supported by the University in fulfilling its obligation to disseminate research results ("I strongly agree" was selected by 53% of respondents, while 36% picked "I agree"). Only	conferences, participation in social and educational policy forums and committees, etc.). Continuation and intensification of activities in this respect are planned. One of the initiatives implemented since 2020 is the Social Innovation Hub established in cooperation with local authorities under the InSitu (Interreg) project. https://wsb.edu.pl/en/research https://wsb.edu.pl/uczelnia/wydarzenia https://wsb.edu.pl/nauka-i-badania/jednostkinaukowo-badawcze/centrum-transferu-technologii

10.Non discrimination	++ fully implemented	discrimination. In order to gather full insight into this issue, 8 questions in the survey were devoted to this problem – they concerned discrimination due to gender, age, ethnic, national, social or linguistic origin, religion or belief, sexual orientation, disability, political beliefs, as well as social or material status. For each of the eight questions about discrimination, respondents strongly affirmed that they did not see any manifestations of discrimination. "I strongly agree" answers were given by 80% to 85% of respondents in all cases. What is more, between 12% and 17% of all respondents replied with "I agree". The number of undecided responses for all questions fluctuated from 1% to 5%. Only 3 questions (gender, age, national, social or linguistic origin, and social or material status) had any negative responses, summing up to 1% to 2%.	area, but it is worth noting that, in view of the WSB University's human resources policy strategy, this area needs special attention. In 2019, a review of procedures, documents and further information activities on adopted standards of anti-discrimination policy were carried out.
		https://www.e- bip.org.pl/upload/00530/21978/0231636- 83797606.pdf	
11.Evaluation/ appraisal systems	+/- almost but not fully implemented	system, however, the assumptions of the	The proposal envisions the following: Publishing the detailed characteristics and procedures of work assessment and evaluation on the university's website and in the staff panel.

12. Recruitment	+-/+ partially implemented	functioning of a non-public, dynamically developing university, recruitment is carried out	The formalisation of the recruitment process within the scope of implementation of procedures based on employee recruitment regulations is an element of the Strategy (OTM-R Policy) of WSB University. The strategy will regulate the recruitment procedures and include a mechanism for evaluating the recruitment process.
13. Recruitment (Code)	-/+ partially implemented	As it was stated above, work is currently underway on the implementation of formalised recruitment procedures.	Development and full implementation of employee recruitment procedures, as a part of the OTM-R Strategy (Policy) of WSB University.
14. Selection (Code)	+/- almost but not fully implemented	The WSB University implements the assumptions and guidelines described in the Code (make-up of the committees, requirements for employees, recognition of the values/characteristics described in the Directive), however, the abovementioned aspects require formalisation / definition of strict procedures of the recruitment process, as well as basing them on the internal legislation of the University.	
15. Transparency (Code)	-/+ partially implemented	recruitment process (position characteristics, expectations, selection criteria) is provided in the relevant competition postings, but the information is not always comprehensive and exhaustive; there is no uniform procedure setting out the minimum information that must be	Standardisation of the recruitment process is required (regulating, among others, the composition of the committee, requirements for employees, recognition of the values/characteristics described in the Directive) and basing it on the internal legislation of the University. Update of the existing detailed procedures regarding the recruitment of academic staff. Establishment of control mechanism for the quality of recruitment process.

16. Judging merit (Code)	++ fully implemented	evaluates candidates' achievements both quantitatively – taking into consideration the	Despite meeting the requirements in this area, there are plans to formalise the criteria for recognising the merits and experience of candidates in the WSB University OTM-R Strategy (Policy) in order to consolidate good practices.
17.Variations in the chronological order of CVs (Code)	++ fully implemented	candidates' multidimensional and multifaceted career paths and varied dynamics as an asset and a potentially valuable contribution if candidates'	Despite meeting the requirements for the recognition of deviations from the chronological order of resumes (resulting from the Code), there are plans to formalise the evaluation criteria for candidates in the WSB University OTM-R Strategy (Policy) in order to consolidate good practices.
18. Recognition of mobility experience (Code)	++ fully implemented	potential arising from mobility. Any mobility experience, such as a stay in another	Despite meeting the requirements for the recognition of mobility of candidates (resulting from the Code), there are plans to formalise the evaluation criteria in the WSB University OTM-R Strategy (Policy) in order to consolidate good practices.

		is an asset – both during the recruitment and during employee evaluations. The vast majority of respondents left a positive reply to the question concerning the perception of mobility and the experience gained in this way as a valuable contribution to the researcher's professional development at WSB University. 61% of respondents picked "I strongly agree", while 33% selected "I agree" – in total, this makes up 94% of all replies. 5% of respondents answered "It's hard to say", while less than 1% disagreed with the statement.	
19.Recognition of qualifications (Code)	++ fully implemented	professional qualifications – both formal and informal – of all employed researchers are properly assessed. Broad and diversified	Despite meeting the requirements in the area of recognition of qualifications (resulting from the Code), there are plans to formalise the criteria for the evaluation of candidates in the WSB University OTM-R Strategy (Policy) in order to consolidate good practices.
20. Seniority (Code)	++ fully implemented	required level of qualifications is determined by the needs of a given position, as listed in job	Despite respecting the recommendations in Area 20 (seniority in line with the Code), there are plans to formalise the evaluation criteria for candidates in the WSB University OTM-R Strategy (Policy) in order to consolidate good practices.

21.Postdoctoral appointments (Code)	-/+ partially implemented	In the WSB University, employees with doctoral degrees are employed under uniform contracts and have similar scopes of responsibilities. However, due to the fact of carrying out numerous projects, different possibilities of involvement in scientific and organisational activities, the actual work burden of employees with doctorates is not evenly distributed, and the path of promotion (obtaining a habilitation) is determined by the individual capabilities of employees, their commitment and the choice of priorities at work. As a result, the career paths of employees with a doctoral degree are diversified, they have different dynamics and the very process of promotion is perceived as rather unclear. An important determinant of the current state of affairs is the key condition of promotion, namely the completion of the external habilitation procedure and thus obtaining the status of an independent academic staff member (dr hab.).
22. Recognition of the profession	++ fully implemented	At WSB University, doctoral students and academic staff are viewed as professionals and are treated accordingly – regardless of the stage of their careers. The reality of this was confirmed by the survey and focus interviews with doctoral students. The block of questions concerning working conditions opens with a question regarding the perception of doctoral students and academic staff as professionals and treating them as such. The vast majority of respondents (91% in total) responded positively with 63% going with "I strongly agree" and 28% for "I agree". The answer "it is hard to say' was given by only 7% of respondents and "I disagree" by less than 2%.

23. Research environment	++ fully implemented	environment (laboratories, infrastructure, training, information activities, grants and scholarships), WSB University strongly encourages and stimulates scientific research, including cooperation through research networks. This trend is confirmed by the support tools mentioned in the proposal, as well as the results of the survey and the focus group study. In the question pertaining to the condition of research,	Informational and promotional activities concerning the existing standards were intensified, including topics such as allocation of funds for statutory research, covering the costs of participation in conferences, scientific publications, etc. For this purpose, a dedicated website (Department of Science Development website) has been developed and emails are sent out. https://wsb.edu.pl/nauka-i-badania/aktualnosci
24. Working conditions	+/- almost but not fully	, , , , , , , , , , , , , , , , , , , ,	procedures for flexible employment, introducing a way of performing some of the teaching and
25. Stability and permanence of employment	-/+ partially implemented	area was evaluated very positively by 48% of respondents and positively by 34%, which indicates a dominant trend in the WSB University.	We need to develop and implement documents setting out transparent rules for recruitment and further career paths. There are plans to define criteria and indicators for stabilisation of employment in the OTM-R Strategy (Policy) and outline career paths in a uniform, widely available document (guide).

		employment contracts, research grants, opportunities for getting involved in various projects).	
26. Funding and salaries	-/+ partially implemented	regulated by the internal Rules of Remuneration. This document is not known to everyone, moreover, the information about the salary brackets is not a required element in the competitive selection process notification, which	Informational activities on available career paths, promotion opportunities and the possibility of obtaining additional funding for researchers'
27. Gender balance	++ fully implemented	and promote gender balance and non- discrimination in this respect. Question 19 of the survey, which covered selection boards –	Despite respecting the recommendations in the area of Gender Balance, there are plans to carry out continued efforts to keep up the favourable trends and to include appropriate provisions in the University's OTM-R Strategy (Policy) to consolidate good practices.

		33.13% had a positive one. On the other hand, in question 35, which directly concerned gender equality principles, a total of 90% of the respondents confirmed that as an employer, WSB University offers equal conditions for women and men to develop their academic careers; that the University enacts and implements gender equality and balance policies, as well as equal opportunity policies at each stage of their careers, including academic supervision and management – 65.03% of respondents chose "I strongly agree" with 24.54% choosing "I agree". 9.82% chose "It is hard to say", 0.61% chose "I disagree" and 0.00% responded "I strongly disagree". https://wsb.edu.pl/index.php?p=m&idg=cnba,5004,5252 More importantly, gender balance can be seen in leadership positions at all levels, in decision-making bodies and in the collegiate bodies of WSB University.	
28. Career development	+/- almost but not fully implemented	activities aimed at supporting and promoting the careers and scientific activities of its staff, these do not take the form of a written (formalised) strategy and are not based on cohesive, internal,	which is currently being drafted and consulted in a
29. Value of mobility	++ fully implemented	framework of internationalisation, conducting international studies and projects, as well as orientation towards innovative activities, the	

		WSB University supports researcher mobility; recognises the value of geographic, intersectoral, inter- and transdisciplinary, and virtual mobility, as well as mobility between the state and private sectors, as an important way to expand scientific knowledge and support the professional development of researchers at every stage of their careers, more than 90% of the respondents were in favour, with 58.90% selecting "I strongly agree" and 31.90% going with "I agree". Importantly, international assignments of employees are promoted — they are able to postpone other duties in connection with these. The University supports the mobility of not only researchers (mainly Erasmus, COST, conference trips, mobility as a visiting professor), but also of administrative staff (mainly Erasmus) and students. WSB University also hosts employees and students — as of now, there are nearly 700 international students studying there.	
30.Access to career advice	-/+ partially implemented	University's experienced scientific staff act as mentors, advisers, tutors or coordinators, but not	Communication activities are required to ensure better communication and dissemination of knowledge about available solutions and possibilities (mailing, updating the University's website and staff panel).
31. Intellectual Property Rights	++ fully implemented	scientific research financed by public and private funds and due to the relevant ethical principles, upholding standards concerning the use and protection of intellectual property rights is a	Despite respecting the recommendations in the area of Intellectual Property Rights of Mobility, there are plans to carry out continued information and organisational activities in order to keep up with the current positive trends by working out and promoting best practices, providing relevant information and training courses – if needed.

		solutions for scientists at every stage of their careers to benefit from the exploitation of their research results through legal protection and through appropriate protection of intellectual property rights, including copyrights), a total of 87% of the respondents answered left a positive response, with 49.69% choosing "I strongly agree" and 37.42% choosing "I agree". In response to question 7: (Do I see the principles of respecting intellectual property rights and shared ownership of data/results in use at WSB University while working on research with supervisor(s) and/or other scientists, the outcomes were very positive — with 74.23% replying with "I strongly agree" and 23.93% choosing "I agree" — 0% disagreed. https://www.e-bip.org.pl/upload/00530/21978/0181666-03663502.pdf	
32. Co-authorship	++ fully implemented	scientists, building interdisciplinary research teams and supporting doctoral students in their	

		https://www.e- bip.org.pl/upload/00530/21978/0181666- 03663502.pdf	
33. Teaching	+/- almost but not fully implemented	a number of internal procedures, which are designed to ensure optimal distribution and alignment of work in accordance with the preferences, possibilities and the teachers' interest profile. However, the study indicated that the distribution of teaching duties, the principles of their remuneration and their inclusion in staff assessment systems need to be reviewed and adjusted. The respondents indicated that teaching duties are not sufficiently appreciated in the	It is necessary to review and update the procedures for the allocation and evaluation of teaching responsibilities and to define their balanced principles in the University Development Strategy for 2021-26 and the WSB University OTM- R Strategy (policy). Moreover, it is also important to increase the level of transparency of the rules of evaluation and qualitative assessment of teaching work by updating the employee assessment procedure and providing feedback, which is accompanied by a wide range of tools and training available to aid the improvement of the teaching skills in the WSB University. Activities aimed at strengthening the student-master supervision relationship are also required.
34. Complains/ appeals	+/- almost but not fully implemented	procedure and an office of an ombudsman.	It is advised to establish an office of an employee ombudsman, elected by the staff themselves, and to develop procedures for complaints and appeals by staff/researchers.

35. Participation in decision- making bodies +++ fully implemente	integrates the model and ideals of teal organisations and turquoise management, based largely on the principles of participation and self-	
	whether WSB University recognises the right of researchers to have representatives in the relevant information, consultation and decision-making bodies in the institutions where they work, in order to protect and represent the individual and collective interests of researchers as professionals and to be actively involved in the work of the institutions – as fully justified, or even desirable. More than 80% of the respondents gave a positive response with equal proportions of "I strongly agree" (40.49%) and "I agree" (40.49%) replies. The neutral answer "It's hard to say" was picked by 18% of all respondents, while the answer "I disagree" was given by only one respondent.	

36. Relation with supervisors	-/+ partially implemented	University units, there are regular and various forms of meetings enabling the development of supervision relations, however, they are not always formalised and information about the above does not always reach all interested	Information activities for better communication are required (mailing, updating the University's website and employee panel, staff training) to disseminate and formalise the process of communication with the supervisor (for example publication of established schedules and office hours, taking advantage of evaluation tools to obtain objective feedback, use of work progress charts).
37. Supervision and managerial duties	+/- almost but not fully implemented		,
38. Continuing Professional Development	++ fully implemented	confirmed by both actions and the supporting activities carried out by specialised bodies and units of the WSB University – Science Development Department, International Projects	The WSB University has a very extensive and multifaceted support system aiding the professional development of employees, but not all staff is aware of it. A proposal was extended to further expand and update the WSB University science page, as well as to send out regular mailing to academic staff members.
39.Access to research training and continuous development	++ fully implemented	confirmed – as above – by both actions and the supporting activities carried out by specialised bodies and units of the WSB University – Science	

40. Supervision +/- almost but not fully implemented	Experienced WSB University staff provide support, methodological consultations and run office hours for younger employees, but not all young academic staff members are aware of the possibility of benefiting from such support. It is necessary to add information about meeting opportunities and contact details to the University's internal intranet platform. It is advisable to expand / update the Science Development Department website in Polish and English.
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